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| Substitute for form 1449/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(Use as many sheets as necessary)</i> |   |    |   | <b>Complete if Known</b> |                    |
|  |   |    |   | Application Number       | 10/592,914         |
|  |   |    |   | Filing Date              | September 15, 2006 |
|  |   |    |   | First Named Inventor     | Xiu-Min Li         |
|  |   |    |   | Art Unit                 | 1655               |
|  |   |    |   | Examiner Name            | Hoffman, Susan Coe |
| Sheet  | 1 | of | 1 | Attorney Docket Number   | 2005577-0010       |

| U.S. PATENT DOCUMENTS |                          |  |                                |  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       | A1*                      | US-6,630,176 B2                          | 10-07-2003                     | LI et al.  |   |
|                       | A2*                      |  |                                |  |   |
|                       | A3*                      |  |                                |  |   |
|                       | A4*                      |  |                                |  |   |

| FOREIGN PATENT DOCUMENTS |                          |   |                                   |  |   |                |
|--------------------------|--------------------------|---|-----------------------------------|--|---|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                   |  |   |                |
|                          | B1                       |   |                                   |  |   |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. \*\* CITE NO.: Those document(s) which are marked with an double asterisk (\*\*) next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an earlier filing date under 35 U.S.C. 120. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                          |   |                |
|---------------------------------|--------------------------|---|----------------|
| Examiner<br>Initials            | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 | C1                       | International Search Report, PCT/US05/08417, date of mailing June 9, 2005.  |                |
|                                 | C2                       | Written Opinion, PCT/US05/08417, date of mailing June 9, 2005.  |                |
|                                 | C3                       | LI et al., "Novel approaches for the treatment of food allergy," <i>Current Opinion in Allergy and Clinical Immunology</i> 2:273-278 (2002).  |                |
|                                 | C4                       | LI et al., "Future Approaches to Therapy," In: Metcalfe DD, Sampson H A, Simon R A, eds. <i>Food Allergy: Adverse Reactions to Foods and Food Additives</i> , Baltimore: Blackwell Publishing (2004) pp. 561-569.   |                |
|                                 | C5                       | LOZA et al., "Peanut allergy," <i>Clin Exp Allergy</i> 25:493-502 (1995).   |                |
|                                 | C6                       | KATTAN et al., "Pharmacological and Immunological Effects of Individual Herbs in the Food Allergy Herbal Formula-2 (FAHF-2) on Peanut Allergy," <i>Phytother Res</i> 22:651-659 (2008).   |                |

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